

KATHY HOCHUL Governor KERRI E. NEIFELD Commissioner

OPWDD Contract Management Unit on behalf of:

Taconic Developmental Disabilities State Operations Office

2023-2028 Community Snow Removal & Ice Control Services in Columbia, Dutchess, Greene, Putnam, and Ulster Counties

TAC IFB 040523

Invitation for Bid

BID CONTENTS

1.	INTRODUCTION	3
2.	DESIGNATED CONTACT PERSON(S) FOR INQUIRIES & SUBMISSION	3
3.	TIMETABLE OF PROPOSAL DUE DATES	3
4.	OBJECTIVE OF THIS IFB	3
5.	GENERAL DESCRIPTION OF SERVICES	
6.	SITE INSPECTIONS	
7.		4
8.	TERM OF THE CONTRACT	4
9.	PAYMENT	1
9. 10.		
10.	SUBCONTRACTING	
11.		
13.	SUBMISSION OF PROPOSALS	
A.	SUBMISSION REQUIREMENTS	6
B.		7
C.	LATE BIDS	7
14.	PROCUREMENT INFORMATION, MANDATORY REQUIREMENTS	· · · ·
A.	PROCUREMENT LOBBYING LAW REQUIREMENTS PURSUANT TO STATE FINANCE LAW §§ 139-J AND 139-K	
B.	QUESTIONS REGARDING THIS PROCUREMENT	8 8
C. D.		8 9
D. E.	INCURRED COSTSCONTENT OF PROPOSALS	9
с. F.		
г. G.	PERIOD OF VALIDITY	9
С. Н.	PUBLIC INFORMATION REQUIREMENTS / CONFIDENTIALITY / PUBLICATION RIGHTS	
II. I.		
I. J.	AFFIRMATIVE ACTION PRIME CONTRACTOR'S RESPONSIBILITY	11
ј. К.	Public Officer's Law Requirements	
L.	OMNIBUS PROCUREMENT ACT	12
<u>.</u> М.		13
N.	Vendor Responsibility Questionnaire	
0.	HEALTH INFORMATION PORTABILITY AND ACCOUNTABILITY ACT (HIPAA)	
Р.	GENERAL DUTIES AND ADDITIONAL RESPONSIBILITIES	13
Q.	NYS INFORMATION SECURITY BREACH AND NOTIFICATION ACT (NYS TECHNOLOGY LAW, § 208)	
R.	NONDISCRIMINATION IN EMPLOYMENT IN NORTHERN IRELAND: MACBRIDE FAIR EMPLOYMENT PRINCIPLES	
s.	BIDDER'S CERTIFICATION OF COMPLIANCE WITH STATE FINANCE LAW § 139-K (5)	14
т.	BIDDER'S AFFIRMATION OF UNDERSTANDING AND AGREEMENT PURSUANT TO STATE FINANCE LAW § 139-J (3) AND § 139-J (6)(B)	14
U.	BIDDER DISCLOSURE OF PRIOR NON-RESPONSIBILITY DETERMINATIONS	15
v.	NON-COLLUSIVE BIDDING CERTIFICATION	15
W.	Public Officers Law Certification	16
х.	BIDDER'S AFFIRMATION OF UNDERSTANDING PURSUANT TO STATE LABOR LAW § 201-G	16
15.	CONSUMER SAFETY INFORMATION	17
16.	CONSULTANT DISCLOSURE	17
17.	EVALUATION CRITERIA: METHOD OF AWARD	
QUAL	IFICATIONS & SCOPE OF WORK	18
	PROPOSAL FORM	28
	D FORM	34

ADDITIONAL REQUIRED FORMS (MUST BE SUBMITTED WITH BID OR WITHIN 3 BUSINESS DAYS OF REQUEST BY OPWDD. FAILURE TO SUBMIT THESE FORMS WILL RESULT IN BID DISQUALIFICATION):

ATTACHMENT 1: References ATTACHMENT 2: Vendor Responsibility Questionnaire

REFERENCE MATERIAL

Contract Template with Appendix A & Supplement

1. Introduction

The New York State Office for People with Developmental Disabilities (hereinafter "OPWDD") has the authority to provide care, treatment, rehabilitation, education, training and support services to developmentally disabled persons. OPWDD is also empowered to take all actions necessary, desirable, and proper to carry out its purposes and objectives within budgetary amounts made available by appropriations. Taconic Disabilities State Operations Office (hereinafter "OPWDD") is an agency of OPWDD serving Columbia, Dutchess, Greene, Putnam, and Ulster counties.

OPWDD contracts with numerous organizations to provide these required services and other physical benefits. Such contracts may be with not-for-profit or for-profit organizations as well as with other governmental organizations.

2. Designated Contact Person(s) For Inquiries & Submission

Kyle Newton, CMS 1 for Christopher Davis, CMS 2 OPWDD Contract Management Unit 26 Center Circle Wassaic, New York 12592-2637 Phone: 845-877-6821 x 3219 Fax: 845-877-3004 <u>eny.nyc.li.contracthub@opwdd.ny.gov</u>

3. Timetable of Proposal Due Dates

IFB Release Date	1 March 2023
Final Date for Receipt of Questions	15 March 2023
Official Responses to Questions By	22 March 2023
Proposal Due Date – Bid Opening*	2:00pm, 5 April 2023
Evaluation & Selection	19 April 2023
Notification of Awards	19 April 2023
Contract start date (subject to change)	1 November 2023

*Bid Opening to be via Web Ex, not in person. Please see page 6, Section 13.A. (4) for details

OPWDD has sole discretion to change the above dates

4. Objective of this IFB

The purpose of this IFB is to contract with responsive and responsible vendors interested in performing the tasks and services described within the section of this IFB identified as "Qualifications & Scope of Work."

5. General Description of Services

This IFB is for interested bidders to submit a bid for Community Snow Removal & Ice Control Services for OPWDD sites, according to the specifications, terms and conditions as enumerated in "Scope of Work" of this IFB.

6. Site Inspections

It is the Bidders obligation to visit any and all sites they wish to bid on. OPWDD will make **no allowance or concession** to the Bidder for any alleged misunderstanding or deception because of quality, character, location, or other conditions. It is the responsibility of the bidder to know the site(s) requirements based upon the service being requested. The telephone number for each site has been provided. It is the Bidders responsibility to set up an appointment with each House Manager to determine the specific requirements of all aspects of the sites in relation to the service to be provided.

7. Notice to Potential Bidders

Receipt of these bid documents does not indicate OPWDD has pre-determined any vendor qualifications to receive a contract award. Such determination will be made after the bid opening and will be based upon an evaluation of all bid submissions and compared to the specific requirements and qualifications contained in these bid documents.

8. Term of the Contract

The term of this contract will be defined in the Contract Agreement, but is anticipated to be a five year contract, unless an amendment is mutually agreed upon by both parties and approved by the Office of the State Comptroller (OSC).

9. Payment

Prices are to remain constant for the initial year of the contract. Approaching every contract anniversary date, the Contractor may request, or OPWDD give notice of, an annual price adjustment for the subsequent year. The request or notice must be submitted in writing between 30 days and 60 days prior to the contract anniversary date. OPWDD has the sole discretion in determining the rate to be approved. The adjustment shall be based upon the most recently available, "CPI-U", not seasonally adjusted, Northeast Region all items, with the adjustment calculated on a 12-month percent change based on the month 60 days prior to the contract anniversary. Any price adjustment shall not exceed 3.0% per annum.

10. Wage and Hours Provisions

If this is a public work contract covered by Article 8 of the Labor Law or a building service contract covered by Article 9 thereof, neither Contractor's employees nor the employees of its subcontractors may be required or permitted to work more than the number of hours or days stated in said statutes, except as otherwise provided in the Labor Law and as set forth in prevailing wage and supplement schedules issued by the State Labor Department.

Pursuant to $\S 9$ (A), Contractor and its subcontractors must pay at least the prevailing wage rate and pay or provide the prevailing supplements, including the premium rates for overtime pay, as determined by the State Labor Department in accordance with the Labor Law.

Pursuant to \S 9 (A), Contractor and its subcontractors must provide OPWDD with a certified payroll when submitting an invoice for payment.

11. Subcontracting

No Subcontracting of services is allowed with this IFB without written permission of OPWDD. For further information, please see section 14 J.

12. Insurance

The Contractor agrees that without expense to the State, insurance will be maintained during the period of the proposal and contract, insurance of the kinds and in the amounts indicated, with insurance companies authorized to do such business in the State of New York, covering all operations under this proposal and contract.

- A. The Contractor shall furnish to OPWDD a Certificate or Certificates in a form satisfactory to the Agency, showing compliance with the requirements of this section. The State of New York Office for People with Developmental Disability will be expressly named as additional insured on each policy in accordance with above. Certificates of insurance should be forwarded to the OPWDD with the signed agreement and thereafter annually on the contract anniversary date. Certificates shall state the policies shall not be changed or cancelled until 30 days written notice has been given to OPWDD. Required insurances are:
 - (1) A policy covering the obligations of the successful bidder in accordance with the Workers' Compensation Law. The contract shall be void and of no effect unless the successful bidder procures such policy and maintains it during the period of the contract. The Workers Compensation Board website can be found here: www.wcb.ny.gov/
 - (2) Policies covering bodily injury, liability and property damage of the types hereinafter specified, each with limits of liability not less than \$1,000,000.00 for all damages arising out of bodily injury, including death at any time resulting there from, sustained by one person in any one accident, and subject to that limit for that person, and not less than \$2,000,000.00 for all damages arising out of bodily injury, including death at any time resulting there from, sustained by two or more persons in any accident and not less than \$2,000,000.00 for all damages arising out of bodily injury, including death at any time resulting there from, sustained by two or more persons in any accident and not less than \$2,000,000.00 for all damages arising out of injury or destruction of property.

- a. Contractor's liability insurance issued to and covering the liability of the successful bidder with respect to all work performed by them under the proposal and the contract.
- b. Protective liability insurance issued to and covering the liability of the people of the State of New York with respect to all operations under this proposal and the contract, by the successful bidder, including omissions and supervisory acts of the State.

13. Submission of Proposals

A. Submission Requirements

One (1) original Bidder **Cost Proposal Form** is required to submit a bid. All proposals in response to this IFB must be received by OPWDD no later than the proposal due date and time.

One (1) original of each additional required form, as listed on page 2 (References and Vendor Responsibility Questionnaire), must be received either by the proposal due date or within 3 business days of request by OPWDD. It is strongly recommended that these additional forms are submitted by the proposal due date. Failure to submit the forms as specified above will result in the bid being disqualified.

- (1) Overnight delivery can take a minimum of two (2) business days to be received by OPWDD. Bidders mailing their responses must allow sufficient mail delivery time to ensure receipt of their proposals by the Bid Opening Date listed on the cover page. Do not depend upon an expedited, "early AM," or similar delivery service to timely deliver to OPWDD.
- (2) All proposals should be submitted in a sealed envelope with *the following information clearly displayed on the exterior of the packaging:* <u>Bidder's name and address; "Sealed</u> <u>Bid" with the IFB title; Proposal Due Date</u>
- (3) Proposals should be **mailed** or **hand delivered** to the following address:

OPWDD Contract Management Unit – **IFB: TAC 040523** C/O Kyle Newton, CMS1 26 Center Circle, Building 58, Service Building Wassaic, New York, 12592-2637

(4) Bid Opening will be done via Web Ex following standard formal bid opening procedures. If bidders wish to "attend", they may do so by calling: 1-518-549-0500 at 2:00pm, April 5, 2023. Bidders will be asked for an ATTENDEE CODE. Enter 161 557 9992 followed by the # sign. You may also join by following the link below: https://meetny.webex.com/meetny/j.php?MTID=m8383207a0fad257b0d88285bfd636406

All proposals and accompanying documentation become the property of OPWDD and ordinarily will not be returned.

B. References

All bidders must submit at least three (3) work references that will verify that the bidder or its principals have at least two (2) years of relevant experience to complete the work as listed in Qualifications and Scope of Work.

C. Late Bids

Any Bid received at the specified location after the time specified will be considered a late Bid. A late Bid shall not be considered for award unless: (i) no timely Bids meeting the requirements of the Bid Documents are received or, (ii) in the case of a multiple award, an insufficient number of timely Bids were received to satisfy the multiple award; and acceptance of the late Bid is in the best interests of the Authorized Users. Delays in United States mail deliveries or any other means of transmittal, including couriers or agents of the Authorized User shall not excuse late Bid submissions. Similar types of delays, including but not limited to, bad weather or security procedures for parking and building admittance shall not excuse late Bid submissions. Determinations relative to Bid timeliness shall be at the sole discretion of OPWDD. No late proposals will be considered if the delay in submission results from the fault of the bidder or from any factor within the direct or indirect control of the bidder.

14. Procurement Information, Mandatory Requirements

A. Procurement Lobbying Law Requirements pursuant to State Finance Law §§ 139-j and 139-k

Effective January 1, 2006: Pursuant to State Finance Law §§ 139-j and 139-k, this solicitation includes and imposes certain restrictions on communications between OPWDD and Bidder during the procurement process. A Bidder is restricted from making contact from the earliest Notice of Intent to Solicit Offers through final award and approval of the Procurement Contract by OPWDD and, if applicable, the Office of the State Comptroller (OSC), to other than designated staff unless it is a contact that is included among certain statutory exceptions set forth in State Finance Law § 129-j (3)(a). Designated staff, as of the date hereof, is (are) identified in this solicitation.

The designated contact person is Christopher Davis, CMS 2, <u>Christopher.M.Davis@opwdd.ny.gov</u>. The Restricted Period for this procurement begins with the date of the advertisement in the NYS Contract Reporter and will end when the NYS Office of the State Comptroller has approved the contract. All contact during the Restricted Period regarding this procurement must be made with the OPWDD designated contact person.

OPWDD employees are also required to obtain certain information when contacted during the restricted period and make a determination of the responsibility of the Bidder pursuant to these two statutes. Certain findings of non-responsibility can result in rejection for contract award. In the event of two findings within a four-year period, the Bidder is debarred from obtaining governmental Procurement Contracts. Bidders will be informed in writing of any preliminary OPWDD finding of non-responsibility and will be afforded administrative due process prior to a final determination being made.

B. Questions Regarding this Procurement

All questions regarding this procurement must be submitted in writing, by fax, mail, or email to the contact person listed in <u>Section 2, 'Designated Contact Person(s) For Inquiries</u> <u>& Submissions'</u> of this solicitation. Questions that are emailed must be submitted via email address to <u>eny.nyc.li.contracthub@opwdd.ny.gov</u>, and should reference the IFB title name and number in the subject line of the email.

OPWDD will post official answers to the questions to the Contract Reporter and the OPWDD website by the date indicated in <u>Section 3, 'Timetable of Proposal Due Date'</u>.

If a bidder discovers a possible error in this IFB, immediately notify the contact person indicated in <u>Section 2 'Designated Contact Person(s) for Inquiries & Submissions'</u>, of such error and request clarification, correction or modification to this document via email address <u>eny.nyc.li.contracthub@opwdd.ny.gov</u>. All inquiries concerning corrections must reference the IFB title and number in the subject line of the email, and cite the particular bid section and paragraph number in the body of the email. Prospective Bidders should note that any such notice must be given, and all clarification and exceptions including those relating to the term and conditions are to be resolved prior to the proposal submission deadline. If there is a substantial error, the entire bidders list will be notified and the IFB change will be posted on the Contract Reporter, as well as e-mail replies to all bidders. OPWDD shall make IFB modifications, provided that such modification would not materially benefit or disadvantage any particular bidder.

C. OPWDD Rights

- (1) OPWDD reserves the right to use any and all ideas presented in any response to the IFB. Selection or rejection of any proposal does not affect this right. OPWDD shall also have unlimited rights to disclose or duplicate, for any purpose whatsoever, all information or other work product developed, derived, documented or furnished by the Bidder under any agreement resulting from this IFB.
- (2) In the event of contract award, all documentation produced as part of the contract will become the exclusive property of OPWDD. OPWDD reserves a royalty free, non-exclusive, and irrevocable license to reproduce, publish, or otherwise use such documentation and to authorize others to do so.
- (3) OPWDD reserves the right to:
 - a. Reject any or all proposals received in response to this IFB (Invitation for Bid);
 - b. Withdraw the IFB at any time, at the agency's sole discretion;
 - c. Make an award under the IFB in whole or in part;
 - d. Disqualify any Bidder whose conduct or proposal fails to conform to the requirements of this IFB. Selection may also include such issues as past performance;
 - e. Seek clarifications and revisions of proposals;
 - f. Use proposal information obtained through site visits, management interviews and the State's investigation of a bidder's qualifications, experience, ability or financial standing, and any material or information submitted by the bidder in response to

the agency's request for clarifying information in the course of evaluation and/or selection under the IFB;

- g. Bidders are cautioned to verify their Bids before submission, as amendments to Bids or requests for withdrawal of Bids received by the Commissioner after the time specified for the Bid opening, may not be considered;
- h. *Prior to the bid opening*, amend the IFB specifications to correct errors or oversights, or to supply additional information, as it becomes available;
- i. *Prior to the bid opening,* direct bidders to submit proposal modifications addressing subsequent IFB amendments;
- j. Change any of the scheduled dates, including start dates, stated herein upon notice to the Bidders;
- k. Eliminate any mandatory, non-material specifications that cannot be complied with by all of the prospective bidders;
- 1. Waive any requirements that are not material;
- m. Negotiate with the successful bidder within the scope of the IFB in the best interests of the state;
- n. Conduct contract negotiations with the next responsible bidder, should the agency be unsuccessful in negotiating with the selected bidder;
- o. Utilize any and all ideas submitted in the proposals received;
- p. Unless otherwise specified in the solicitation, every offer is firm and not revocable for a period of 60 days from the bid opening; and,
- q. Require clarification at any time during the procurement process and/or require correction of arithmetic or other apparent errors for the purpose of assuring a full and complete understanding of a bidders proposal and/or to determine a bidders compliance with the requirements of the solicitation.

D. Incurred Costs

The State of New York shall not be liable for any costs incurred by a Bidder in the preparation and production of a proposal. Any work performed prior to the issuance of a fully executed contract or delivery of an order by OPWDD to the Contractor will be done only to the degree the Contractor voluntarily assumes the risk of nonpayment.

E. Content of Proposals

To be considered responsive, a Bidder should submit complete proposals that satisfy all the requirements stated in this IFB. Proposals that do not include the listed required forms may be rejected as nonconforming.

F. Period of Validity

Each Bidder's Proposal must include a statement as to the period during which the provisions of the proposal will remain valid. All elements of the bid and proposal shall remain in effect for a minimum of 180 days.

G. Notice of Award, Debriefing and Bid Protests

(1) The successful Bidder or its agent shall not make any news releases or any other disclosure relating to this contract award without the explicit approval of OPWDD.

- (2) OPWDD will notify all unsuccessful Bidders, at or about the time of bid award, of the fact that their proposals were not selected. Each unsuccessful Bidder may at that time request a debriefing by OPWDD as to why its proposal was not selected. The scope of such debriefings will ordinarily be limited to the strengths and weaknesses of the individual Bidder's proposal unless the contracts resulting from this procurement have been approved by OSC.
- (3) Bidders wishing to file protest of the awarding of a bid(s) must notify OPWDD, in writing, of their intent to protest the award within ten (10) working days of their receipt of notice of non-award. The protest should identify the name and number of the IFB and the award date; indicate the bidder's interpretation as to why they feel they were denied the award (i.e., summarize the deficiencies identified during the debriefing) and state their justification for the bid protest. Bid protests must be mailed to NYS OPWDD, Contract Management Unit, 44 Holland Avenue, 3rd Floor, Albany, New York 12229-0001.

H. Public Information Requirements / Confidentiality / Publication Rights

- (1) All the proposals upon submission will become the property of OPWDD. Materials / documents produced by the Contractor in the fulfillment of its obligations under contract with OPWDD become the property of OPWDD unless prior arrangements have been made with respect to specific documents.
- (2) OPWDD will have the right to disclose all or any part of a proposal to public inspection based on its determination of what disclosure will serve the public interest. Upon approval of the contract by OSC, all terms of the contract become available to the public.
- (3) Prospective Bidders are further advised that, except for trade secrets and certain personnel information (both of which OPWDD has reserved the right to disclose), all parts of proposals must ultimately be disclosed to those members of the general public making inquiry under the New York State Freedom of Information Law (NYS Public Officers Law article 6) although proposal contents cannot ordinarily be disclosed by OPWDD prior to bid award.
 - a. Should a Bidder wish to request exception from public access to information contained in its proposal, the Bidder must specifically identify the information and explain in detail why public access to the information would be harmful to the Bidder. Use of generic trade secret legends encompassing substantial portions of the proposal or simple assertions of trade secret interest without substantive explanation of the basis therefore will be regarded as non-responsive requests for exception from public access will not be considered by OPWDD in the event of a Freedom of Information request for proposal information is received
- (4) The bidder and OPWDD agree that all communications, until the effective date of the contract, shall be made in confidence, shall be used only for purposes of the contract, and that no information shall be disclosed by the recipient party except as required by Federal or State law.

- (5) The bidder shall treat all information, in particular information relating to OPWDD service recipients and providers, obtained by it through its performance under contract, as confidential information, to the extent that confidential treatment is provided under New York State and Federal law, and shall not use any information so obtained in any manner except as necessary to the proper discharge of its obligations and securement of its rights hereunder. Bidder is responsible for informing its employees of the confidentiality requirements of this agreement.
- (6) The Contractor may not utilize any information obtained via interaction with OPWDD in any public medium (media-radio, television), (electronic-internet), (print-newspaper, policy paper, journal/periodical, book, etc.) or public speaking engagement without the official prior approval of OPWDD Senior Management. Contractors bear the responsibility to uphold these standards rigidly and to require compliance by their employees and subcontractors. Requests for exemption to this policy shall be made in writing, at least 14 days in advance, to OPWDD Contract Management Unit, 44 Holland Avenue (3rd Floor), Albany, New York 12229.
- (7) The Contractor agrees that no brochure, news/media/press release, public announcement, memorandum, or other information of any kind regarding the Contract shall be disseminated in any way to the public, nor shall any presentation be given regarding the Contract without the prior written approval of the OPWDD, which written approval shall not be unreasonably withheld or delayed provided, however, that Contractor shall be authorized to provide copies of the Contract and answer any questions relating thereto to any State or federal regulators or, in connection with its financial activities, to financial institutions for any private or public offering.

I. Affirmative Action

- (1) OPWDD is in full accord with the aims and effort of the State of New York to promote equal opportunity for all persons and to promote equality of economic opportunity for minority group members and women who own business enterprises, and to ensure there are no barriers, through active programs, that unreasonably impair access by Minority and Women-Owned Business Enterprises (M/WBE) to State contracting opportunities. OPWDD encourages business that are minority or woman owned, to become certified with Empire State Development.
- (2) Prospective Bidders to this IFB are subject to the provisions of Executive Law article 15-A and regulations issued there under.
- (3) Any contract in the amount of \$25,000 or more which is awarded as a result of this IFB will be subject to all applicable State and Federal regulations, laws, executive orders and policies regarding affirmative action and equal employment opportunities.
- (4) All awardees are required to comply with OPWDD's Minority and Woman-Owned Business Enterprises (M/WBE) policy. For details on requirements and procedures, including documentation required for this solicitation, please refer to the Appendix A-Supplement.

J. Prime Contractor's Responsibility

In the event the selected Bidder's proposal includes services provided by another firm, it shall be mandatory for the selected Bidder to assume full responsibility for the delivery for such items offered in the proposal. In any event, OPWDD will contract only with a Bidder, not the Bidder's financing institution or subcontractors. OPWDD reserves the right to review and approve all potential subcontractors. For subcontracts valued at \$100,000 and over, the subcontractors must demonstrate financial integrity and stability. In these instances, the subcontractor must complete and execute a Vendor Responsibility Questionnaire. OPWDD shall consider the selected Bidder to be the sole responsible contact with regard to all provisions of the contract resulting from this IFB.

K. Public Officer's Law Requirements

All Bidders and their employees must be aware of and comply with the requirements of the New York State Public Officers Law, and all other appropriate provisions of New York State Law and all resultant codes, rules and regulations from State laws establishing the standards for business and professional activities of State employees and governing the conduct of employees of firms, associations and corporations in business with the State, and for applicable Federal laws and regulations of similar intent. In signing the proposal, each Bidder guarantees knowledge and full compliance with those provisions for any dealings, transactions, sales, contracts, services, offers, relationships, etc. involving the State and/or State employees. Failure to comply with those provisions may result in disqualification from the bidding process and in other civil or criminal proceedings as may be required or permitted by law. Public Officers' Law § 73 bars former State officers and employees from appearing, practicing, or rendering any services for compensation in relation to any matter before their former State agency for a period of two years from their date of termination. Additionally, there is a permanent bar against any such activity before any state agency in relation to any case, application, proceeding or transaction with which such officer or employee was directly concerned and personally participated or which was under his/her active consideration.

L. Omnibus Procurement Act

It is the policy of New York State to maximize opportunities for the participation of New York State business enterprises, including minority and women-owned business enterprises as bidders, subcontractors, and suppliers on its procurement contracts.

Information on the availability of New York State subcontractors and suppliers is available from the Department of Economic Development, Division for Small Business, Albany, New York 12245, Tel. 518.292.5100, Fax: 518.292.5884, email: opa@esd.ny.gov.

A directory of certified minority and women-owned business enterprises is available from the NYS Department of Economic Development, Minority and Women's Business Development Division, 633 Third Avenue, New York, New York 10017, Tel. 212.803.2414, email: mwbecertification@esd.ny.gov

website: http://esd.ny.gov/MWBE/directorySearch.html

M. Contract Execution

Awards are not final and the resultant contract is not considered executed and binding until approved by the New York State's Attorney General and Office of State Comptroller (OSC).

N. Vendor Responsibility Questionnaire

State agencies are required under State Finance Law § 163 (3) (a) (ii), to ensure that contracts are awarded to responsible vendors. Such requirements include, but are not limited to, the Bidder's qualifications, financial stability, and integrity. The Vendor Responsibility Questionnaire is required for contracts \$100,000 and over. OPWDD will require a complete Vendor Responsibility Questionnaire with your bid proposal if the contract resulting from this procurement is valued at \$100,000 and over. Vendors/not-for-profit provider agencies are able to file the Vendor Responsibility Questionnaire (VRQ) online via the New York State VendRep System or may choose to complete and submit a paper questionnaire. To enroll in and use the New York State VendRep System, see the www.osc.state.ny.us/vendrep.

O. Health Information Portability and Accountability Act (HIPAA)

The Federal Department of Health and Human Services (HHS) established HIPAA Standards for Privacy of Individually Identifiable Health Information (The Privacy Rule). The Privacy Rule (45 CFR Part 160 and Subparts A and E of Part 164) provides the first comprehensive federal protection for the privacy of health information. The Privacy Rule is carefully balanced to provide strong privacy protections that do not interfere with patient access to, or the quality of, health care delivery. HIPAA has an impact upon how OPWDD and contractors will deal with protected health information of our consumers. Likewise, State Mental Hygiene Law § 33.13 requires disclosure of clinical records to be limited to that information necessary for health care providers to administer treatment.

P. General Duties and Additional Responsibilities

Maintain a level of cooperation with OPWDD necessary for the proper performance of all contractual responsibilities. Agree that no aspect of bidder performance under the Agreement will be contingent upon State personnel, or the availability of State resources, with the exception of all proposed actions of the bidder specifically identified in the Agreement as requiring OPWDD's approval, policy decisions, policy approvals, exceptions stated in the Agreement or the normal cooperation which can be expected in such a contractual relationship or the equipment agreed to by OPWDD as available for the project completion. Cooperate fully with any other contractor that may be engaged by OPWDD. Agree to meet periodically with OPWDD representatives to resolve issues and problems. Recognize and agree that any and all work performed outside the scope of the Agreement or without consent of OPWDD shall be deemed by OPWDD to be gratuitous and not subject to charge by the bidder.

Q. NYS Information Security Breach and Notification Act (NYS Technology Law, § 208)

"Contractor shall comply with the provisions of New York State Information Security Breach and Notification Act (General Business Law § 889-aa; State Technology Law § 208). Contractor's negligent or willful acts or omissions, or the negligent or willful acts or omissions of Contractor's agents, officers, employees, or subcontractors." The "New York State Information Security Breach and Notification Act" requires entities that conduct business with New York State and own or license "private" data to notify state residents affected by any security breach that results in unauthorized acquisition of the data. "Private" data is defined as unencrypted computerized information that can identify the individual, combined with one of the following data elements: (a) social security number, (b) driver's license or non-driver identification number" or (c) financial account information such as credit card or debit cards numbers in combination with access codes or PIN numbers. (Private data is considered unencrypted when either identifying information or the data element is not encrypted or is encrypted with a key that has been acquired).

The Act authorizes the State Attorney General to sue a business violating the statute in order to recover damages for actual costs or losses, including consequential financial losses incurred by persons entitled to notification. If a business engages in knowing or reckless violations, the court can impose a civil penalty of the greater of \$5,000 or \$10 per instance of failed notification up to \$150,000. The remedies provided by this section shall be addition to any lawful remedy available, possibly permitting private actions.

R. Nondiscrimination in Employment in Northern Ireland: MacBride Fair Employment Principles

In accordance with State Finance Law § 165, the bidder, by submission of this bid, certifies that it or any individual or legal entity in which the bidder holds a 10% or greater ownership interest, or any individual or legal entity that holds a 10% or greater ownership in the bidder interest has no business operations in Northern Ireland. If the bidder or any of its aforementioned affiliations has business operations in Northern Ireland, then they shall take lawful steps in good faith to conduct any business operations that it has in Northern Ireland in accordance with the MacBride Fair Employment Principles relating to nondiscrimination in employment and freedom of workplace opportunity regarding such operations in Northern Ireland, and shall permit independent monitoring of their compliance with such Principles.

S. Bidder's Certification of Compliance with State Finance Law § 139-k (5)

In accordance with New York State Finance Law § 139-k (5), the bidder, by submission of this bid, certifies that they are subject to the provisions of State Finance Law §§ 139-k and 139-j and all information provided to OPWDD with respect to State Finance Law § 139-k is complete, true, and accurate.

T. Bidder's Affirmation of Understanding and Agreement pursuant to State Finance Law § 139-j (3) and § 139-j (6)(b)

The bidder, by submission of this bid, certifies that it understands and agrees to comply with the procedures of OPWDD as it relates to permissible contracts as required by State Finance Law 139-j (3) and 139-j (6)(b).

U. Bidder Disclosure of Prior Non-Responsibility Determinations

New York State Finance Law § 139-k (2) obligates the Office for People With Developmental Disabilities (OPWDD) to obtain specific information regarding prior non-responsibility determinations with respect to State Finance Law §139-j. This information must be collected in addition to the information that is separately obtained pursuant to State Finance Law §163(9). In accordance with State Finance Law § 139-k, bidders must disclose whether there has been a finding of non-responsibility made within the previous four (4) years by any Governmental Entity due to: (a) a violation of State Finance Law § 139-j or (b) the intentional provision of false or incomplete information to a Governmental Entity. State Finance Law § 139-j sets forth detailed requirements about the restrictions on contacts during the procurement process. A violation of State Finance Law §139-j includes, but is not limited to, an impermissible contact during the restricted period (e.g., contacting a person or entity other than the designated contact person(s), when such contact does not fall within one of the exemptions).

As part of its responsibility determination, State Finance Law § 139-k (3) mandates consideration of whether a bidder fails to timely disclose accurate or complete information regarding the above non-responsibility determination. In accordance with law, no Procurement Contract shall be awarded to any bidder that fails to timely disclose accurate or complete information under this section, unless a finding is made that the award of the Procurement Contract to the bidder is necessary to protect public property or public health safety, and that the bidder is the only source capable of supplying the required Article of Procurement within the necessary timeframe.

The bidder, by submission of its bid certifies that no government entity has made a finding of non-responsibility regarding the individual or entity seeking to enter into this procurement contract. If the individual or entity has had a finding of non-responsibility due to a violation of State Finance Law 139-j or due to the intentional provision of false or incomplete information submitted to a government entity, then the said individual or entity must provide a detailed statement regarding the finding.

Additionally, the bidder by submission of its bid certifies that no government entity has ever terminated or withheld a procurement contract from the individual or entity seeking to enter into this procurement contract due to the intentional provision of false or incomplete information. If the individual or entity has been terminated or withheld from a procurement contract, then said individual or entity must provide a detailed statement regarding the finding.

V. Non-Collusive Bidding Certification

In accordance with State Finance Law § 139-d, the bidder by submission of this bid certifies that they and each person signing on behalf of the bidder certifies, and in the case of joint proposal, each party thereto certifies as to its own organization, under penalty of perjury, that to the best of his knowledge and belief:

1. The prices in this proposal have been arrived at independently, without collusion, consultation, communication, or agreement, for the purposes of restricting competition,

as to any matter relating to such prices with any other bidder or with any competitor, and

- 2. Unless otherwise required by law, the prices which have been quoted in this proposal have not been knowingly disclosed by the bidder and will not knowingly be disclosed by the bidder prior to opening, directly or indirectly, to any other bidder or to any competitor; and
- 3. No attempt has been made or will be made by the bidder to induce any other person, partnership, or corporation to submit or not to submit a proposal for the purpose of restricting competition.

W. Public Officers Law Certification

In accordance with Public Officers Law § 73(4)(a)(i) no State employees shall sell any goods or services having a value in excess of twenty-five dollars to any State agency, unless such goods and services are provided pursuant to an award or contract letter after public notice and competitive bidding.

By submission of this bid, the bidder certifies that no employee, owner or individual otherwise associated with the bidder was ever a New York State officer or employee, or if they were ever or currently a New York State officer or employee, their organization pursued and awarded this contract through a competitive bidding process in compliance with the Public Officers Law 73(4)(a)(i).

Public Officers Law § 73(8)(a)(i) provides that no person who has served as a State officer or employee shall, within a period of two years after termination of such service or employment, appear or practice before such State agency or receive compensation for any services rendered by such former officer or employee on behalf of any person, firm, corporation, or association in relation to any case, proceeding, or application or other matter before such agency.

By submission of this bid, the bidder certifies that no employee, owner or individual otherwise associated with the bidder was ever a New York State officer or employee, or they are formerly a New York State officer or employee and any past employment with the State occurred prior to the two-year prohibition period and as a result their organization is in compliance with the Public Officers Law (8)(a)(i).

X. Bidder's Affirmation of Understanding Pursuant to State Labor Law § 201-g

By submission of this bid, each bidder and each person signing on behalf of any bidder certifies, and in the case of a joint bid each party thereto certifies as to its own organization, under penalty of perjury, that the bidder has and has implemented a written policy addressing sexual harassment prevention in the workplace and provides annual sexual harassment prevention training to all its employees. Such policy shall, at a minimum, meet the requirements of Labor Law § 201-g.

15. Consumer Safety Information

OPWDD provides services to individuals exhibiting Pica, which is a medical disorder characterized by an appetite for largely non-nutritive substances, e.g., cigarette butts, paper, gum, etc. Attention to the sanitation and cleanliness of the areas surrounding OPWDD's state operated program sites and residential buildings is very important to the health and safety of those we serve. Please ensure care is taken to properly dispose of cigarette butts and rubbish while on OPWDD property.

OPWDD property has special receptacles for cigarette butt disposal. Contractor and subcontractor employees shall use these receptacles and throw trash in garbage cans or dumpsters. Compliance with this policy is appreciated.

16. Consultant Disclosure

Effective June 19, 2006, contractors doing business with the State of New York in a "consulting" capacity will be required to file forms disclosing, by employment category, the number of persons employed by them and their subcontractors (if any) as a consulting firm or an individual consultant; the number of hours worked; and the monetary compensation received from the State of New York for work performed by these employees. Reporting will be required via the utilization of two separate forms – "Form A" and "Form B".

In general, however, Form A is to be completed once upon initial contract award and is used to report "planned employment". Form B is required annually and reports on "actual employment figures" for the preceding state fiscal year. The New York State fiscal year commences on April 1st and concludes on March 31st.

17. Evaluation Criteria: Method of Award

OPWDD will select the responsible and responsive Bidder that will provide the lowest Total Combined Cost Per Season for each Cluster. Awards will be made by Cluster. A Bidder may bid on one or multiple Clusters. A Bidder may win one or more Clusters. All sites included in a Cluster are to be serviced by the winning Bidder. Only proposals judged to be responsive to the submission requirements set forth in this IFB will be evaluated. An incomplete Cost Proposal Form or any alteration to the Cost Proposal Form may result in your bid not being considered OPWDD reserves the right to reject any and all offers.

Should mathematical errors be made by the Bidder when calculating the Total Combined Cost Per Season, the individual prices given for the Seasonal Price per site will be the determining factor.

In the event of a tie bid, the award will be made by random selection.

Qualifications & Scope of Work

Community Snow Removal & Ice Control Services

The Office for People With Developmental Disabilities (OPWDD) on behalf of the Taconic Developmental Disabilities State Operations Office (DDSOO) requires a qualified Contractor to provide **Snow Removal and Ice Control Services** at community residences in Columbia, Dutchess, Greene, Putnam, and Ulster Counties. A site listing with addresses and telephone numbers is included in **Exhibit A**.

The Contractor shall, during the term of this Agreement, perform all **Snow Removal and Ice Control Services** described and specified in this Scope of Work.

I. <u>General Requirements:</u>

- 1. Snow removal and ice control services are required at OPWDD residences which are occupied by a developmentally disabled population including individuals who have medical and physical disabilities, and some who are confined to wheelchairs. OPWDD residents often require an additional level of care regarding snow and ice removal due to functional mobility limitations.
- 2. Snow removal and ice control services detailed in this Scope of Work will be paid by one seasonal price, spread out over six (6) equal installments as further detailed in section V. <u>Accounting</u>.
- 3. The Contractor selected to do the work set forth herein shall not assign or sub-contract any or all parts of said work without written permission from OPWDD.
- 4. Contractors must use sufficient size plows and have commercial quality salt/sand/calcium chloride spreading equipment to remove snow and ice from the driveways and parking lots at the locations. Manually broadcast spreading with a shovel from the bed of a pick-up truck does not provide enough coverage to driveways and parking lots. Contractor shall have adequate staff and equipment to accomplish the snow removal and ice control services without delay. OPWDD reserves the right to request detailed listings of equipment, staffing, service routes, etc.
- 5. The Contractor's equipment shall not be left at the respective sites after snow removal for a specific storm has been completed.
- 6. The Contractor may, for the duration of each snow season, place visible markers in work areas.
- 7. Contractor is to adhere to local/state noise control ordinances/laws and is responsible to follow all State, local and municipal codes regarding the plowing of snow from OPWDD

property and roadways. Contractor must possess and provide, at no cost to the State, appropriate licenses and permits associated with the provision of snow and ice control services.

- 8. Under no circumstance shall the Contractor move any vehicles, personal or State owned. If vehicles block the driveway or parking areas, every effort must be made to have staff at the sites move vehicles to allow a complete clearing of parking areas and walkways. There may be occasions where staff are unable to move vehicles. Contractor is to inform House Manager or designee and clear snow as best they can. See section **III**. <u>Detailed Specifications</u>, for further information.
- 9. The Contractor's employees will adhere to all OPWDD policies and regulations, including but not limited to smoking, parking, etc. The Contractor shall cooperate with House Managers and staff and will comply with the safety and security requirements imposed by the site.
- 10. Pursuant to the conditions within this scope of work, the method of snow removal, equipment used, and plan of action shall be up to the Contractor's discretion.

II. Service and Accumulation Requirements:

- It is extremely important during inclement weather that all efforts are made to have the sites accessible and safe for individuals and staff. To have accessibility, snow removal shall automatically commence at the accumulation of <u>2 inches</u> at the contracted site. It is the Contractor's sole responsibility to ensure they are aware of when each site on this contract has accumulated <u>2 inches</u> of snow. In the event of drifting snow and/or formation of ice; maintained surfaces shall be serviced to obtain a safe environment.
- 2. Snow removal will be ongoing, allowing no more than <u>2 inches</u> of accumulation. At the conclusion of weather event, all maintained surfaces shall be cleared to bare pavement. Snow removal may be preceded by the spread of anti-icing materials and immediately followed by de-icing for ice control when appropriate or needed.
- 3. Contractor must be able to provide snow removal services on a seven days per week, 24 hours a day basis. Please note: Staff change shifts at approximately <u>7:00 a.m., 3:00 p.m. and 11:00 p.m.</u> The driveways and sidewalks MUST be clear of snow and ice during their departures and arrivals. Pre-treatment of surfaces (anti- icing), plowing, sanding, and ice control (deicing) services must be conducted in accordance with the timeframes mentioned above.
- 4. The House Manager or designee has the right to call for additional service as deemed necessary for safety concerns. Contractor must be available for call-in by the House Manager or designee if snow accumulation or drifting snow restricts travel on driveways, parking areas, or driveway exits. Response time shall be within two (2) hours of call. Contractor must provide a phone number for accepting calls 24 hours per day and 7 days per week. OPWDD must be notified immediately of any phone number changes.

5. In the event the Contractor fails to remove snow, within the time frames listed above and OPWDD is required to procure services from another vendor, the Contractor will be held liable for any costs to provide such services for the site(s) involved. In such a situation, the additional cost for these services will be deducted from any payments owed. If no payments are owed, the Contractor will be invoiced for the additional costs incurred by OPWDD.

III. Detailed Specifications:

1. Snow Removal Services

- a. Snow shall be removed by plowing, shoveling, or blowing as necessary. All snow must be removed in a manner that allows safe passage and does not promote the buildup of ice and/or snow. Plows cannot be used to clear sidewalks or walkways.
- b. Contractor will employ the use of "snowplow shoes" on gravel or unpaved areas.
- c. Snow removal from walkways, driveways, patios, etc. must clear the entire surface, and overlap the edge onto the grass whenever possible. All walkways/paths must be cleared to **no less than 36 inches wide**; enough to accommodate wheelchairs. Any decks that are designated as an egress path shall be cleared a **minimum of 6 feet wide from egress point to the evacuation point** as identified by the House Manager. Cleared snow is to be removed from the deck.
- d. Walkways/paths may be across non-paved areas to allow for emergency egress from the site. It is the Contractor's responsibility to know where these walkways/paths are needed.
- e. Driveways, parking areas, and parking exits are to remain open and at full width and visibility throughout the contract period.
- f. The Contractor must meet with each House Manager or designee to discuss specific site requirements. Areas that require snow removal services include but are not limited to:

Entire driveways	Entire parking areas		
Fire exits	Egress walkways		
Generator access	Dumpsters		
Fire hydrants	Fuel delivery paths		
Walkways to storage sheds	Evacuation areas		
Mailboxes	All exits		
Egress paths on decks	Steps/ramps		

It is the responsibility of OPWDD staff to shovel the paths to smoking areas.

- g. Snow shall be removed to a storage area designated by the House Manager or their designee. Banking of snow shall be limited in height so as not to restrict visibility at the entrance to the roadway. Snow shall not be pushed against houses, vehicles, buildings, entranceways, sidewalks, exit doors, fire hydrants, trash receptacles, garage doors, shrubbery, trees, or emergency generators. Care must be taken to not push, shovel, or throw snow on or otherwise damage adjoining properties. Snow must not be piled up or blown in front of neighbor's property or public streets. Snow shall not be placed where it blocks public walks.
- h. <u>Municipal Sidewalk</u>: At locations that share a boundary with a municipal sidewalk, all snow must be cleared from the length of the municipal sidewalk for which that site is responsible. No sidewalks shall be blocked by snowbanks. Any fines, penalties, or assessments resulting from failure to clear municipal sidewalks as required by local law shall be charged to the Contractor.
- i. <u>Blocked Driveway</u>: If vehicles block the driveway or parking areas, every effort must be made to have staff at the residence move vehicles to allow a complete clearing of parking areas and walkways. The Contractor must call house 30 minutes prior to expected arrival to allow staff adequate time to clear and move vehicles parked in snow removal areas. The Contractor is not responsible for shoveling out vehicles.
- j. Snowbank pushback and Snow removal with heavy equipment is not covered under this contract. If these services are required, they will be handled by the DDSOO Business Officer or designee. Any damages caused during services will be the responsibility of the Contractor providing said services.

2. Ice Control Services

- a. Contractor must be able to provide ice control services 24 hours per day, seven days per week for community residences. Ice Control may be performed as a stand-alone process, e.g., ice storm, sleet, freezing rain, melting snow that refreezes, or whenever frozen water occurs, as well as during snowfall events. Ice Control may be initiated at the request of the House Manager or designee as deemed necessary to maintain proper safety for individuals, staff, and visitors.
- b. The Contractor must meet with each House Manager or designee to discuss specific site requirements. Areas that require ice control services include but are not limited to:

Entire driveways	Entire parking areas
Fire exits	Egress walkways
Generator access	Dumpsters
Fire hydrants	Fuel delivery paths

Walkways to storage sheds	Evacuation areas
Mailboxes	All exits
Egress paths on decks	Steps/ramps

- c. **Ice control materials are to be provided by the Contractor** and applied at rates and densities in line with industry standards so as to maintain all affected areas reasonably free of ice and snow to minimize potentially slippery conditions.
- d. De-icing application must immediately follow every plowing to bare surface.
- e. The Contractor shall submit the specifications of the ice melt to be used to the DDSOO Plant Superintendent's Office for approval prior to the usage of equivalent material. Sodium chloride (rock salt) is not to be used on decks or concrete areas. Calcium Chloride is to be used on stoops and porches. Any damage to concrete surfaces or decks caused by applying unapproved deicing agents will be the Contractor's sole financial responsibility to bear for cost of repair or replacement
- f. Should ice accumulate to a thickness that becomes unmanageable by chemical applications alone, Contractor may be required to break up and remove ice by other means.
- g. It is the responsibility of OPWDD staff to de-ice paths to smoking areas, The cost for the de-icing agent for these areas is the responsibility of OPWDD.
- h. It is the Contractor's responsibility to de-ice walkways, paths, steps, emergency exits, deck paths, and sidewalks. The cost for the de-icing agent for these areas is the responsibility of the Contractor.
- i. Ice removal from walkways, driveways, patios, etc. must clear the entire surface, and overlap the edge onto the grass whenever possible. All walkways/paths must be cleared to **no less than 36 inches wide**; enough to accommodate wheelchairs. Any decks that are designated as an egress path shall be cleared a **minimum of 6 feet wide from egress point to the evacuation point** as identified by the House Manager. Cleared ice is to be removed from the deck.
- j. At temperatures below 15 degrees Fahrenheit, abrasives such as sand, provided by the Contractor, may be added to enhance traction. Sand may be used combined with an approved ice control product; however, the ratio of sand to ice melt material should be no more than 50/50. This ratio can only be changed by the DDSOO Plant Superintendent or designee based on the effectiveness of the last application.

- k. At the end of the season, all excess sand/salt must be removed from the premises at the Contractor's expense. The House Manager will determine the presence of excess salt/sand.
- 1. Contractor shall supply all appropriate Material Safety Data Sheets (MSDS) to each contracted site's House Manager or designee.

IV. <u>Contractor Damages</u>:

- 1. Only qualified operators and proper equipment are to be used along with special efforts to prevent abuse and damage to surfaces of paved roads, walks, curbs, barriers, landscape effects, grass areas, etc. The Contractor shall be responsible for the repair of all damage to property, landscape, walkways, or any structures of the State or neighboring properties caused by the Contractor's employees or equipment. The Contractor must notify the House Manager or designee immediately of an event causing damage to the property.
- 2. The Contractor shall be responsible for, and will be notified by OPWDD of, all damage to buildings, curbing, walkways, fences, signs, trees, shrubbery, grass areas, etc. as it is discovered and until the end of the snow season. At the end of the snow season, the Contractor shall be solely and wholly financially liable for any reported damage and shall comply with the instruction of the DDSOO Plant Superintendent, House Manager, or designee in returning the property to its pre-season condition.
- 3. The Contractor shall not be responsible for damage from snow piled in the designated snow storage areas.
- 4. The Contractor must complete all damage repairs by <u>April 30th</u> of the calendar year. If the repairs are not completed by that time, OPWDD may find an alternative Contractor to complete the repairs. The cost for these repairs will be deducted from any payments owed to the Contractor. If no payments are owed, the Contractor will be invoiced for the costs incurred by OPWDD.
- 5. If Contractor causes damage to a staff or State-owned vehicle, the Contractor must notify the House Manager or designee immediately. Contractor will be responsible to report the damage to their private insurance company and exchange insurance information with the staff person if it was a personal vehicle.

V. <u>Accounting:</u>

 Pricing & Payment Structure: Snow removal and ice control service is based on seasonal pricing. The seasonal price for each cluster will be paid in six (6) equal installments. The first invoice of the season is to be dated December 1st and subsequent invoices (January through May) are to be dated on the first of each month. Invoices are to be submitted for payment no later than 30 days from the invoice date. If no service is required prior to December 1st, Contractor must still submit an invoice to receive initial seasonal payment. Payment will be made after receipt of invoices as detailed in 4. <u>Invoices</u>. The sixth and final seasonal payment each year will be processed once the final invoice is received (no later than May 31) and damages that may have been caused by the Contractor have been satisfactorily repaired, as detailed in section **IV**. <u>Contractor Damages</u>.

- 2. Job Tickets: Contractor is required to complete a Job Ticket and present it to the House Manager or staff upon completion of each service. The House Manager or staff will sign the Job Ticket if service is satisfactory. Job Tickets will then be provided as directed to the DDSOO Business Office in order for payment to be made. The Contractor's employee, present on site, and the house staff accepting the Job Ticket must legibly print their names on the Job Ticket. Failure to provide this may result in payments being reduced. The Contractor will be given a list of unoccupied homes that have no staff present to sign the Job Tickets.
- 3. <u>Prevailing Wages:</u> Prevailing Wages apply to this contract. The PRC number for this contract is 2023900152. A copy of Contractor's certified payroll is required to be submitted with invoices prior to payment for services rendered.
- 4. <u>Invoices:</u> Invoices are to be submitted no later than 30 days from the date on the invoice. Invoice must indicate: Seasonal payment invoice #____ of 6, PO# OPD01-, Contract number, the name of the site(s), the date(s) of service and the type of service rendered. All contracted sites should be submitted in one monthly invoice as detailed above in 1. <u>Pricing & Payment Structure</u>. If no service has yet been required at the time of submitting the initial seasonal payment invoice (#1 of 6), Contractor should indicate such on invoice. Invoices are to be submitted for payment to:

OPWDD Taconic DDSOO Unit ID: 3660241 C/O NYS OGS BSC Accounts Payable Bldg. 5, 5th Floor 1220 Washington Ave. Albany, New York 12226-0900

The state of New York may require the Contractor to submit billing invoices electronically. *eInvoicing information may be found at: <u>https://bsc.ogs.ny.gov/nys-vendors</u>*

5. <u>Payment:</u> Payment for invoices submitted by the Contractor shall only be rendered electronically unless payment by paper check is expressly authorized by the head of the State Agency, in the sole discretion of the head of such State Agency, due to extenuating circumstances. Such electronic payment shall be made in accordance with OSC's procedures and practices to authorize electronic payments. Payment will be based on actual services rendered.

Exhibit A

	Cluster 1		
Site	Address	Telephone	County
1	9 Independence Drive, Valatie NY 12184	845-784-3443	Columbia
2	9/10 Independence Drive, Valatie NY 12184	-Shared Drive-	Columbia
3	10 Independence Drive, Valatie NY 12184	845-784-3444	Columbia
	Cluster 2		
Site	Address	Telephone	County
1	18 Sinpatch Road, Wassaic NY 12592	845-877-6618	Dutchess
2	19 Sinpatch Road, Wassaic NY 12592	845-877-6885	Dutchess
3	22 Sinpatch Road, Wassaic NY 12592	845-877-3785	Dutchess
4	42 Sinpatch Road, Wassaic NY 12592	845-877-6126	Dutchess
5	51 Sinpatch Road, Wassaic NY 12592	845-877-3109	Dutchess
6	55 Sinpatch Road, Wassaic NY 12592	845-877-6474	Dutchess
7	66 Sinpatch Road, Wassaic NY 12592	845-877-3438	Dutchess
	Cluster 3		
Site	Address	Telephone	County
1	259 Ludlow Woods Road, Stanfordville NY 12581	845-868-1456	Dutchess
2	4093 Route 82, Millbrook NY 12545	845-677-0126	Dutchess
3	171 Nine Partners Lane, Millbrook NY 12545	845-677-9691	Dutchess
4	266 Mallory Road, Verbank NY 12585	845-677-3727	Dutchess
5	859 Camby Road, Millbrook NY 12545	845-677-6937	Dutchess
6	90 Verbank Club Road, Verbank NY 12585	845-677-8879	Dutchess
	Cluster 4		
Site	Address	Telephone	County
1	763 Beekman Road, Hopewell Junction NY 12533	845-226-3584	Dutchess
2	341 Gardner Hollow, Poughquag NY 12570	845-724-4139	Dutchess
3	1468 Route 82, Hopewell Junction, NY 12533	845-226-6765	Dutchess
4	49 Queen Anne Lane, Wappingers Falls NY 12590	845-226-7718	Dutchess
5	7 Bykenhulle Road, Hopewell Junction, NY 12533	845-227-1425	Dutchess
6	1489 Clove Valley, LaGrangeville NY 12540	845-724-3657	Dutchess
	Cluster 5		
Site	Address	Telephone	County
1	26 Greentree North, Hyde Park NY 12538	845-229-6841	Dutchess
2	7 Cove Road, Rhinebeck NY 12572	845-876-5283	Dutchess
3	107 Spruce Lane, Clinton Corners NY 12514	845-266-4535	Dutchess
4	232 Clinton Hollow Road, Salt Point NY 12578	845-266-3606	Dutchess
5	8 Elizabeth Drive, Tivoli NY 12583	845-757-3317	Dutchess
6	1743 County Road 19, Red Hook NY 12571	845-756-3313	Dutchess
7	167 Schultz Hill, Staatsburg NY 12580	845-876-9655	Dutchess

	Cluster 6		
Site	Address	Telephone	County
1	36 Depot Hill Road, Amenia NY 12501	845-373-8739	Dutchess
2	19 Quarry Hill Road, Millerton NY 12546	518-789-6877	Dutchess
3	6 Meadow Lane, Millerton NY 12546	518-789-6901	Dutchess
4	123 Rudd Pond Road, Millerton NY 12546	518-789-6963	Dutchess
	Cluster 7		
Site	Address	Telephone	County
1	185 Duncan Hill Road, Dover Plains NY 12522	845-832-3196	Dutchess
2	55 Mayflower Road, Dover Plains NY 12522	845-832-3745	Dutchess
3	20 McCarthy Road, Dover Plains NY 12522	845-877-3593	Dutchess
4	3292 Route 22, Dover Plains NY 12522	845-877-9249	Dutchess
5	2078 Route 22, Dover Plains NY 12522	845-832-6840	Dutchess
	Cluster 8		
Site	Address	Telephone	County
1	57 N. Mesier Avenue, Wappingers Falls NY 12590	845-297-3718	Dutchess
2	2543 South Avenue, Wappingers Falls NY 12590	845-296-0652	Dutchess
3	130 Old Route 9, Fishkill NY 12524	845-897-3306	Dutchess
4	23 Heaney Road, Beacon NY 12508	845-831-0401	Dutchess
5	345 Route 301, Cold Spring NY 10516	845-265-4665	Putnam
•	Cluster 9		
Site	Address	Telephone	County
1	6946 Route 55, Wingdale NY 12594	845-832-3681	Dutchess
2	15 River Road, Pawling NY 12564	845-855-5490	Dutchess
3	43 Overlook Drive, Pawling NY 12564	845-855-3598	Dutchess
4	127 South Quaker Drive, Patterson NY 12563	845-878-6237	Putnam
5	198 Stagecoach Road, Patterson NY 12563	845-878-3071	Putnam
	Cluster 10		
Site	Address	Telephone	County
1	81 Hillis Terrace, Poughkeepsie NY 12603	845-463-0116	Dutchess
2	47 Old Farms Road, Poughkeepsie NY 12603	845-463-4402	Dutchess
3	22 Wayne Drive, Poughkeepsie NY 12601	845-462-8738	Dutchess
4	310 Diddell Road, Poughkeepsie NY 12603	845-485-4836	Dutchess
5	230 Sleight Pass Road, Pleasant Valley NY 12569	845-485-4713	Dutchess
•	Cluster 11		
Site	Address	Telephone	County
1	3895 Route 9W, Saugerties NY 12477	845-246-3819	Ulster
2	36 Route 32A, Saugerties NY 12477	518-678-6236	Ulster
3	795 Neighborhood Road, Lake Katrine NY 12449	845-336-5687	Ulster
	11 Perry Hill Road, Kingston NY 12401	845-338-7510	Ulster
4	11 1 city 1 mi Roua, rangetoiri (1 12101		
4 5	607 Broadway, Kingston NY 12401	845-338-0310	Ulster
		845-338-0310 845-338-6202	Ulster Ulster

	Cluster 12					
Site	Address	Telephone	County			
1	707 Main Street, Cairo NY 12413	518-622-2863	Greene			
2	25 Malmstrom Drive, Cairo NY 12413	518-622-2951	Greene			
3	3 Olivett Lane, Athens NY 12515	518-945-1950	Greene			
4	92 Woodland Avenue, Catskill, NY 12414	518-943-9390	Greene			
	Cluster 13					
Site	Address	Telephone	County			
1	7 Marlin Drive, Brewster NY 10509	845-279-9437	Putnam			
2	25 Deer Hill Court, Carmel NY 10512	845-225-5271	Putnam			
3	21 Belden Road, Carmel NY 10512	845-228-1647	Putnam			
4	17 Mechanic Street, Carmel NY 10512	845-228-1047	Putnam			
5	348 Dennytown Road, Putnam Valley NY 10579	845-528-3816	Putnam			
	Cluster 14					
Site	Address	Telephone	County			
1	487 Route 299, New Paltz NY 12561	845-255-8155	Ulster			
2	656 North Ohioville Road, New Paltz NY 12561	845-255-8701	Ulster			
3	102 S. Chodikee Lake Road, Highland NY 12528	845-691-7145	Ulster			
4	48 Phillips Avenue, Highland NY 12528	845-691-2320	Ulster			
5	265 Hawley Corners Road, Highland NY 12528	845-691-6699	Ulster			
	205 Hawley Comers Road, Highland NT 12528	843-891-8899	Ulster			
6	37 Gabriety Road, Highland NY 12528	845-691-3731	Ulster			
6	37 Gabriety Road, Highland NY 12528	845-691-3731	Ulster			
6	37 Gabriety Road, Highland NY 12528 148 Hardenburgh Rd, Ulster Park NY 12229	845-691-3731	Ulster			
6 7	37 Gabriety Road, Highland NY 12528 148 Hardenburgh Rd, Ulster Park NY 12229 Cluster 15	845-691-3731 845-877-6821x3715	Ulster Ulster			
6 7 Site	37 Gabriety Road, Highland NY 12528 148 Hardenburgh Rd, Ulster Park NY 12229 Cluster 15 Address	845-691-3731 845-877-6821x3715 Telephone	Ulster Ulster County			
6 7 Site 1	37 Gabriety Road, Highland NY 12528 148 Hardenburgh Rd, Ulster Park NY 12229 Cluster 15 Address 10 Elwyn Lane, Woodstock NY 12489	845-691-3731 845-877-6821x3715 Telephone 845-679-3022	Ulster Ulster County Ulster			
6 7 Site 1 2	37 Gabriety Road, Highland NY 12528 148 Hardenburgh Rd, Ulster Park NY 12229 Cluster 15 Address 10 Elwyn Lane, Woodstock NY 12489 4220 Route 209, Stone Ridge NY 12484	845-691-3731 845-877-6821x3715 Telephone 845-679-3022 845-687-2046	Ulster Ulster County Ulster Ulster			

Cost Proposal Form

There are 15 Clusters for bid. Clusters will be evaluated separately and awarded to the Bidder who provides the lowest **Total Combined Cost Per Season**. Bidder may bid on one Cluster, multiple Clusters, or all Clusters and multiple contracts may be awarded. All sites included in a Cluster must be bid on for Bidder to be considered for that Cluster. All sites included in a Cluster must be serviced by the Bidder awarded a contract for that Cluster.

The **Total Combined Cost Per Season** is calculated by adding all of the Seasonal Price per site within each Cluster. Transfer all **Total Combined Cost Per Season** per Cluster for each Cluster you wish to bid on to the Summary & Signature page (page 33), sign and submit all pages of the Cost Proposal (pages 28-33), along with the completed mandatory bid documents.

Entries must be legible. The Total Combined Cost Per Season is to include the cost of furnishing all said services, travel time, mileage, materials, equipment, supplies, labor, fees, and all other ancillary costs to the satisfaction of the agency and the performance of all work set forth in the Qualifications & Scope of Work.

Any alterations to the cost proposal form could result in your bid being disqualified.

	Cl			
Site	Address	Telephone	County	Seasonal Price per site
1	9 Independence Drive, Valatie NY 12184	845-784-3443	Columbia	
2	9/10 Independence Drive, Valatie NY 12184	-Shared Drive-	Columbia	
3	10 Independence Drive, Valatie NY 12184	845-784-3444	Columbia	
	Total Combined Cost Pe			

Cluster 2				
Site	Address	Telephone	County	Seasonal Price per site
1	18 Sinpatch Road, Wassaic NY 12592	845-877-6618	Dutchess	
2	19 Sinpatch Road, Wassaic NY 12592	845-877-6885	Dutchess	
3	22 Sinpatch Road, Wassaic NY 12592	845-877-3785	Dutchess	
4	42 Sinpatch Road, Wassaic NY 12592	845-877-6126	Dutchess	
5	51 Sinpatch Road, Wassaic NY 12592	845-877-3109	Dutchess	
6	55 Sinpatch Road, Wassaic NY 12592	845-877-6474	Dutchess	
7	66 Sinpatch Road, Wassaic NY 12592	845-877-3438	Dutchess	
	Total Combined Cost Pe			

Bidder's Name

Cluster 3				
Site	Address	Telephone	County	Seasonal Price per site
1	259 Ludlow Woods Road, Stanfordville NY 12581	845-868-1456	Dutchess	
2	4093 Route 82, Millbrook NY 12545	845-677-0126	Dutchess	
3	171 Nine Partners Lane, Millbrook NY 12545	845-677-9691	Dutchess	
4	266 Mallory Road, Verbank NY 12585	845-677-3727	Dutchess	
5	859 Camby Road, Millbrook NY 12545	845-677-6937	Dutchess	
6	90 Verbank Club Road, Verbank NY 12585			
	Total Combined Cost Per S			

	Clust			
Site	Address	Telephone	County	Seasonal Price per site
1	763 Beekman Road, Hopewell Junction NY 12533	845-226-3584	Dutchess	
2	341 Gardner Hollow, Poughquag NY 12570	845-724-4139	Dutchess	
3	1468 Route 82, Hopewell Junction, NY 12533	845-226-6765	Dutchess	
4	49 Queen Anne Lane, Wappingers Falls NY 12590	845-226-7718	Dutchess	
5	7 Bykenhulle Road, Hopewell Junction, NY 12533	845-227-1425	Dutchess	
6	1489 Clove Valley, LaGrangeville NY 12540	845-724-3657	Dutchess	
	Total Combined Cost Per S			

	Cluster 5			
Site	Address	Telephone	County	Seasonal Price per site
1	26 Greentree North, Hyde Park NY 12538	845-229-6841	Dutchess	
2	7 Cove Road, Rhinebeck NY 12572	845-876-5283	Dutchess	
3	107 Spruce Lane, Clinton Corners NY 12514	845-266-4535	Dutchess	
4	232 Clinton Hollow Road, Salt Point NY 12578	845-266-3606	Dutchess	
5	8 Elizabeth Drive, Tivoli NY 12583	845-757-3317	Dutchess	
6	1743 County Road 19, Red Hook NY 12571	845-756-3313	Dutchess	
7	167 Schultz Hill, Staatsburg NY 12580	845-876-9655	Dutchess	
	Total Combined Cost Per			

	Cl			
Site	Address	Telephone	County	Seasonal Price per site
1	36 Depot Hill Road, Amenia NY 12501	845-373-8739	Dutchess	
2	19 Quarry Hill Road, Millerton NY 12546	518-789-6877	Dutchess	
3	6 Meadow Lane, Millerton NY 12546	518-789-6901	Dutchess	
4	123 Rudd Pond Road, Millerton NY 12546			
	Total Combined Cost Per			

	Cluster 7			
Site	Address	Telephone	County	Seasonal Price per site
1	185 Duncan Hill Road, Dover Plains NY 12522	845-832-3196	Dutchess	
2	55 Mayflower Road, Dover Plains NY 12522	845-832-3745	Dutchess	
3	20 McCarthy Road, Dover Plains NY 12522	845-877-3593	Dutchess	
4	3292 Route 22, Dover Plains NY 12522	845-877-9249	Dutchess	
5	2078 Route 22, Dover Plains NY 12522			
	Total Combined Cost Per S			

	Cluster 8			
Site	Address	Telephone	County	Seasonal Price per site
1	57 N. Mesier Avenue, Wappingers Falls NY 12590	845-297-3718	Dutchess	
2	2543 South Avenue, Wappingers Falls NY 12590	845-296-0652	Dutchess	
3	130 Old Route 9, Fishkill NY 12524	845-897-3306	Dutchess	
4	23 Heaney Road, Beacon NY 12508	845-831-0401	Dutchess	
5	345 Route 301, Cold Spring NY 10516	Putnam		
	Total Combined Cost Per Se			

	Clus			
Site	Address	Telephone	County	Seasonal Price per site
1	6946 Route 55, Wingdale NY 12594	845-832-3681	Dutchess	
2	15 River Road, Pawling NY 12564	845-855-5490	Dutchess	
3	43 Overlook Drive, Pawling NY 12564	845-855-3598	Dutchess	
4	127 South Quaker Drive, Patterson NY 12563	845-878-6237	Putnam	
5	198 Stagecoach Road, Patterson NY 12563			
	Total Combined Cost Per S			

	Cluster 10			
Site	Address	Telephone	County	Seasonal Price per site
1	81 Hillis Terrace, Poughkeepsie NY 12603	845-463-0116	Dutchess	
2	47 Old Farms Road, Poughkeepsie NY 12603	845-463-4402	Dutchess	
3	22 Wayne Drive, Poughkeepsie NY 12601	845-462-8738	Dutchess	
4	310 Diddell Road, Poughkeepsie NY 12603	845-485-4836	Dutchess	
5	230 Sleight Pass Road, Pleasant Valley NY 12569			
	Total Combined Cost Per S			

	Cluster 11			
Site	Address	Telephone	County	Seasonal Price per site
1	3895 Route 9W, Saugerties NY 12477	845-246-3819	Ulster	
2	36 Route 32A, Saugerties NY 12477	518-678-6236	Ulster	
3	795 Neighborhood Road, Lake Katrine NY 12449	845-336-5687	Ulster	
4	11 Perry Hill Road, Kingston NY 12401	845-338-7510	Ulster	
5	607 Broadway, Kingston NY 12401	845-338-0310	Ulster	
6	147 Albany Avenue, Kingston NY 12401	845-338-6202	Ulster	
7	79 Andrew Street, Kingston NY 12401	845-334-8760	Ulster	
	Total Combined Cost Per S			

	Cluster 12			
Site	Address	Telephone	County	Seasonal Price per site
1	707 Main Street, Cairo NY 12413	518-622-2863	Greene	
2	25 Malmstrom Drive, Cairo NY 12413	518-622-2951	Greene	
3	3 Olivett Lane, Athens NY 12515	518-945-1950	Greene	
4	92 Woodland Avenue, Catskill, NY 12414			
	Total Combined Cost Per S			

	Clust			
Site	Address	Telephone	County	Seasonal Price per site
1	7 Marlin Drive, Brewster NY 10509	845-279-9437	Putnam	
2	25 Deer Hill Court, Carmel NY 10512	845-225-5271	Putnam	
3	21 Belden Road, Carmel NY 10512	845-228-1647	Putnam	
4	17 Mechanic Street, Carmel NY 10512	845-228-1047	Putnam	
5	348 Dennytown Road, Putnam Valley NY 10579			
	Total Combined Cost Per S			

Cluster 14				
Site	Address	Telephone	County	Seasonal Price per site
1	487 Route 299, New Paltz NY 12561	845-255-8155	Ulster	
2	656 North Ohioville Road, New Paltz NY 12561	845-255-8701	Ulster	
3	102 S. Chodikee Lake Road, Highland NY 12528	845-691-7145	Ulster	
4	48 Phillips Avenue, Highland NY 12528	845-691-2320	Ulster	
5	265 Hawley Corners Road, Highland NY 12528	845-691-6699	Ulster	
6	37 Gabriety Road, Highland NY 12528	845-691-3731	Ulster	
7	148 Hardenburgh Rd, Ulster Park NY 12229			
	Total Combined Cost Pe			

	Cluster 15			
Site	Address	Telephone	County	Seasonal Price per site
1	10 Elwyn Lane, Woodstock NY 12489	845-679-3022	Ulster	
2	4220 Route 209, Stone Ridge NY 12484	845-687-2046	Ulster	
3	5 Livingston Court, Woodstock NY 12498	845-679-5960	Ulster	
4	40 Witchtree Road, Woodstock NY 12498	845-679-2615	Ulster	
5	251 Spillway Road, West Hurley NY 12491			
	Total Combined Cost Pe			

Summary & Signature

Total Combined Cost Per Season		
Cluster 1		
Cluster 2		
Cluster 3		
Cluster 4		
Cluster 5		
Cluster 6		
Cluster 7		
Cluster 8		
Cluster 9		
Cluster 10		
Cluster 11		
Cluster 12		
Cluster 13		
Cluster 14		
Cluster 15		

Bidder Signature

Print Name & Title

This bid is valid for ______ days (Bids shall be valid for not less than 180 days)

Name of Company:

Address:

Federal ID Number:

Date:

Telephone: Email:

No-Bid Form

Bidders choosing not to bid are requested to complete and return only this form.

□ We do not provide the requested services. Please remove our firm from your mailing list.

□ We are unable to bid at this time because:

□ Please retain our firm on your mailing list.

(Firm Name)

(Signature)

(Date)

(Print Name)

(Title)

(E-mail)

(Telephone)

Failure to respond to bid invitations may result in your firm being removed from our mailing lists.